## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

MASSACHUSETTS MUTUAL LIFE	
INSURANCE COMPANY,	

Plaintiff,

No. 11-cv-30285-MAP-KPN

v.

MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.; DEUTSCHE BANK SECURITIES INC.; GOLDMAN, SACHS & CO., INC.; J.P. MORGAN SECURITIES LLC; AND RBS SECURITIES, INC.,

Defendants.

## **DEFENDANT'S CERTIFICATION PURSUANT TO LOCAL RULE 16.1(D)(3)**

Pursuant to Rule 16.1(D)(3) of the Rules of this Court, undersigned counsel hereby certifies that Defendant Deutsche Bank Securities Inc. and counsel of record from Simpson Thacher & Bartlett LLP conferred (a) with a view to establishing a budget for the costs of conducting the full course—and various alternative courses—of the litigation; and (b) to consider the resolution of the litigation through the use of alternative dispute resolution programs such as those outlined in Local Rule 16.4.

Dated May 28, 2012

Respectfully submitted,

/s/ Azure Abuirmeileh\_

Kathy B. Weinman, Esq. BBO #541993 Azure Abuirmeileh, Esq. BBO #670325 kweinman@collorallp.com aabuirmeileh@collorallp.com Collora LLP 600 Atlantic Avenue Boston, Massachusetts 02210 Phone (617) 371-1000 Fax (617) 371-1037

## Of Counsel:

Thomas C. Rice (trice@stblaw.com)
David J. Woll (dwoll@stblaw.com)
Alan Turner (aturner@stblaw.com)
Simpson Thacher & Bartlett LLP
425 Lexington Avenue
New York, New York 10017
Phone (212) 455-2000 Fax (212) 455-2502

on behalf of

Deutsche Bank Securities Inc.

60 Wall Street

New York, New York 10005

Phone (212) 250-2500

## **CERTIFICATE OF SERVICE**

I hereby certify that this document filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF) and paper copies will be sent to those indicated as non-registered participants on May 28, 2012.

/s/ Azure Abuirmeileh
Azure Abuirmeileh